

Extremal (and Type D) horizons of the NUT type

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Based (mostly) on:

Phys. Rev. D 113, 044004 (2026) Eryk Buk, Jerzy Lewandowski, Denis Dobkowski-Ryłko, **MO**

Part I: Isolated horizon structure

The "proper" definition:

$$\underbrace{\mathcal{B}}_{\text{black hole region}} = \mathcal{M} \setminus J^{-}(\mathcal{I}^{+}), \quad \underbrace{\mathcal{H}^{+}}_{\text{black hole event horizon}} = \partial \mathcal{B}$$

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- Hawking: In stationary ST, satisfying EEs BH horizon is a Killing horizon

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Killing horizon: Let ℓ be spacetime KVF $\mathcal{L}_\ell g = 0$

$$\mathcal{H}_K = \mathcal{N}_\ell := \{g(\ell, \ell) = 0, \ell \neq 0\} \quad (\text{and } \ell \text{ must be tangent to } \mathcal{N})$$

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- $\mathcal{N}_\ell$ is a null surface $\Rightarrow$ past and future lightcones are on one side
- Non-expanding: S^2 part of the horizon does not expand $\approx$ time independent
- Problem: **non-local** - required symmetries (e.g. stationarity) outside of the horizons

Intrinsic structure of Isolated Horizons

Definition: *Non-expanding horizon* $(H, {}^{(3)}g, {}^{(3)}\nabla)$

- H = 3D null surface with ${}^{(3)}g = \Pi^*g$ of signature $(0 + +)$ and topology of bundle $\Pi : H \rightarrow S$. (S, g) compact, Riemannian, $\dim 2$.
- Non-expanding: any null normal $\ell \in \Gamma(TH)$ preserves the metric $\mathcal{L}_\ell {}^{(3)}g = 0$
- H -null $\implies {}^{(3)}\nabla$ is not unique. Has to be prescribed s.t. : $\nabla g = 0$ or inherited from ST.

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More data:

- Surface gravity: ${}^{(3)}\nabla_\ell \ell = \kappa^{(\ell)} \ell$. Constant on $\mathcal{H}$ assuming EEs.
- Rotation 1-form $\omega^{(\ell)} : {}^{(3)}\nabla \ell =: \omega^{(\ell)} \otimes \ell, \mathcal{L}_\ell \omega^{(\ell)} = 0$.
- Pseudo-scalar invariant: $d\omega^{(\ell)} = \Omega \eta$. η -area form. Intrinsic measure of **angular momentum**, $\Omega \neq 0 \iff \text{rotation}$

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Definition: *Isolated Horizon* $(H, {}^{(3)}g, [\ell], {}^{(3)}\nabla)$

- $[\mathcal{L}_\ell, {}^{(3)}\nabla] = 0$. Restrict equivalence class of ℓ : $\ell \mapsto c_0 \ell, c_0 \in \mathbb{R}$
- ω - unique, ℓ and κ up to a real const $\implies$ either extremal or not
 $\kappa^{(\ell)}=0$ $\kappa^{(\ell)} \neq 0$

Principle bundle structure

- So far: fibre bundle $\Pi : H \rightarrow S$
- More: **principle bundle** $G \hookrightarrow H \xrightarrow{\Pi} S$ (trivial or not)
 - G acts via the flow of some horizon symmetry (KVF)
 - Connected group: $G = \mathbb{R}$ or $U(1)$.
 - Hopf bundle ($\propto S^3$) for $G = U(1)$ and $S = S^2$
- Can we define the $U(1)$ connection (choice of horizontal v.f. via kernel) using natural IH objects?
 - There is a choice if $U(1)$ is generated by ℓ :
 - Connection: $A = \frac{\omega}{\kappa} \otimes \ell^*$. Bundle curvature $F = \frac{1}{\kappa} d\omega \otimes \ell^*$
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The Main Goal

Classify IH geometries according to S and G

Part II: Isolated Horizon geometry constraints

¹Also known as Near-Horizon Geometry Equation, quasi-Einstein Equation

Horizon reduction of Λ -vacuum Einstein Equations

1. **Petrov Type D** horizons: (If embeddable then) Weyl $|_{\mathcal{H}}$ is of type D

- Subject to Petrov type D equation in suitable "restricted null tetrad" $(\ell, m, \bar{m})$

$$\bar{m}^A \bar{m}^B \nabla_A \nabla_B \left(K + i\Omega - \frac{\Lambda}{3} \right)^{-1/3} = 0$$

where K is Gaussian curvature of g and Ω is the rotation invariant.

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2. Extremal horizons: vanishing surface gravity $\kappa = 0$

- Extremal horizon Einstein Equations:¹

$$\nabla_{(A} \omega_{B)} + \omega_A \omega_B - K g_{AB} + \frac{\Lambda}{2} g_{AB} = 0$$

where R_{AB} is Ricci tensor on $(\mathcal{S}, g)$

- Equivalent to Einstein Equations for the Near-Horizon Geometry

$$ds^2 = 2dv \left(dr + r\omega_A dx^A + \frac{1}{2}r^2 F dv \right) + g_{AB} dx^A dx^B$$

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Type D Equation is an **integrability condition** of EEH Equation; Any solution of EEH is a solution of Type D Eq, but may not satisfy Type D condition: $\Psi_2 = K + i\Omega - \frac{\Lambda}{3} \neq 0$ everywhere at $\mathcal{H}$.

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Petrov Type D Equation - overview of the solutions

Assumption: Local section S is an orientable and compact surface $\implies$ topologies may be characterized by the genus.

Known Λ -vacuum solutions (with structure group generated by ℓ):

²Physics Letters B 783 (2018) 415–420, Denis Dobkowski-Ryłko, Wojciech Kaminski, Jerzy Lewandowski, Adam Szereszewski

³Phys. Rev. D 110 (2024), 024071, Jerzy Lewandowski, and **MO**

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- $H = S \times \mathbb{R}$, S - smooth Riemann surface with genus > 0 : $K = \frac{4\pi(1-\text{genus})}{\text{Area}} \neq \frac{\Lambda}{3}$, $\Omega = 0$ (ω closed),²
 - Embeddable in Schwarzschild-(a)dS with planar/hyperbolic slices mod identification

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- $H \rightarrow S$, with structure of $U(1)$ -non-trivial bundle over S , smooth Riemann surface with genus > 0 , K as above, $\Omega = \text{const} \propto \text{Chern class}$ ³
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- $H = S^3 \rightarrow S^2$, Hopf bundle with axial symmetry⁵
 - ▶ 2 - parameter family with $K = \text{const}$, $\Omega = \text{const} \neq 0$ embeddable in Taub-NUT-(a)dS
 - ▶ 3 - parameter family with $K \neq \text{const}$, $\Omega \neq \text{const}$ embeddable in accelerated Kerr-NUT-(a)dS
 - ▶ (Mysterious) 2 - parameter family with $K = \text{const}$, $\Omega \neq \text{const}$ embeddable in acc. Kerr-NUT-(a)dS at $r = 0$

Spherical and non-axially symmetric solution - open problem!

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⁸M. Dunajski and J. Lucietti, Intrinsic rigidity of extremal horizons (2023)
W. Kamiński and J. Lewandowski, Extreme horizon equation (2024)

Extremal Horizon equation - overview of the solutions

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- $S = S^2$ without axial symmetry and smooth? No-go! **Rigidity theorem**: axial symmetry always exists⁸

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Part III: Towards new solutions: transversal IH

$$ds^2 = -f(r)(dt - \underbrace{2l(\cos \theta - 1)}_{A(\theta)} d\phi)^2 + \frac{dr^2}{f(r)} + (r^2 + l^2)g_{S^2}$$

$$f(r) = \frac{r^2 - 2mr - l^2}{r^2 + l^2}, \omega := dt - A d\phi \text{ not continuous at } \theta = \pi \implies \text{singular half-axis.}$$

Taub-NUT spacetime and Misner interpretation

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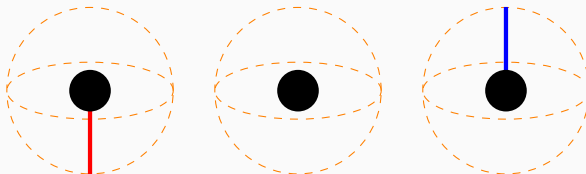
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Misner interpretation

$$t' := t - 4l\phi \implies \omega := dt - A' d\phi, \quad A' = 2l(\cos \theta + 1)$$

t is **cyclic** with period $8\pi l$! $\partial_t, \partial_{\phi_\pi}$ - cyclic

Spacetime topology: $S^3 \times \mathbb{R}, U(1)$ -bundle over $S^2 \times \mathbb{R}$



Plebański-Demiański black hole solutions

- Most general Petrov Type D Lambda-electro-vacuum solution to EEs in 4D, with Weyl and Maxwell field aligned
- Generalisation of Schwarzschild, Kerr etc:

$$\underbrace{M}_{\text{"mass"}}, \underbrace{a}_{\text{Kerr}}, \underbrace{\alpha}_{\text{acceleration}}, \underbrace{e, g}_{\text{e.m. charges}},$$

$$\underbrace{\Lambda}_{\text{cosmological constant}}, \underbrace{l}_{\text{N(ewmn)-U(unti)-T(amburino)}}$$

⁹ Jerzy Lewandowski, **MO**, Nonsingular extension of the Kerr-NUT-(anti-)de Sitter spacetimes, Phys. Rev. D 104, 024022 (2021)

Jerzy Lewandowski, **MO**, Projectively nonsingular horizons in Kerr-NUT-de Sitter spacetimes, Phys. Rev. D 102, 124055 (2020)

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Misner interpretation maybe extended to generic Plebański-Demiański spacetime with right choice of cyclic KVF - not necessarily ℓ . Including singularity free sols, and $S^3 \times \mathbb{R}$ cosmologies⁹.

Generically up to 4 Killing horizons, possibly extremal

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Construction of IH with transversal symmetry

Idea: Construct the horizon explicitly in coordinates by glueing two local trivializations, with $U(1)$ symmetry not null and find admissible (g, ω)

Assume that projection to the space of null generators (orbits of ℓ) is differentiable

$$\pi : H \rightarrow \mathcal{S}$$

and reduce the problem to 2D

$$^{(3)}g = \pi^* g \quad \text{and} \quad ^{(3)}\omega = \pi^* \omega.$$

- g a metric tensor on S^2 with conical singularities
- **Extremal:** ω a regular 1-form on S^2 ¹⁰. **Type D:** two local sections of regular 1-form on S^3 .

¹⁰One may show that for $\kappa = 0$ a rescaling s.t. ω is regular is always possible.

- $H = S^3 \rightarrow S^2$, Hopf bundle with axial symmetry and conical singularities ¹¹

Assume axial symmetry, but ϕ not necessarily 2π -periodic, possible conical singularities

$$g = R^2 \left(\frac{dx^2}{P(x)^2} + P(x)^2 d\phi^2 \right), \quad \omega = \frac{dB}{B} + \star dU = \frac{B_{,x}(x)}{B(x)} dx + P^2(x) U_{,x}(x) d\phi.$$

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Trace part of EEH solves for ω , trace-free part for g :

- Non-rotating: $d\omega = 0$, 2 parameter family necessarily $\Lambda > 0$
- Rotating: $d\omega \neq 0$, 3 parameter family, any sign of Λ

Type D Equation:

- Rotating: 4 parameters family (not 3 as before + 2 conical singularities), any Λ

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(Partial) embedding into the Plebański-Demiański family

- Any extremal/Type D horizon of accelerated Kerr-NUT-(anti-) de Sitter is of the rotating $d\omega \neq 0$ type
- Non-rotating $d\omega = 0$ horizons embed as
 - Horizons at $r = 0$ of accelerated Kerr-NUT-dS
 - Taub-NUT-dS if conical singularities vanish
 - Probably also accelerated Taub-NUT-dS

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Dual purpose horizons:

1. Construct smooth (!) horizon with conically singular space of null orbits of ℓ , but the horizon is compact $\implies$ Misner interpretation
2. Forget about the transversal construction and construct product $S^2 \times \mathbb{R}$ horizons, but non smooth $\implies$ no periodic time

Related solutions?

- Podolsky-Matejov solutions: full classification of g for extremal horizons with conical singularities, parametrization by angle deficits $\delta_{\pm}$, agreement for vanishing charges. No explicit ω ¹²
- Lucietti-Kunduri solutions: no assumption on topology, possibly conical singularities, not clear where (and if!) there are axis. Agreement if their solution has two poles (i.e. is on a sphere)
- Lewandowski-Buk, similar methodology. Complete agreement if conical singularities vanish ¹³
- Type D equation on a sphere with conical singularities. The same construction of horizon, but subject to Petrov Type D equation. Solutions to EEH must solve type D Equation, but are not necessarily type D. ¹⁴
- Constraints on the non-embedded horizon parameters

¹²D. Matejov and J. Podolský, Uniqueness of extremal isolated horizons and their identification with horizons of all type d black holes*, Classical and Quantum Gravity 38, 135032 (2021)

D. Matejov and J. Podolský, Extremal isolated horizons with a cosmological constant and the related unique type d black holes, Phys. Rev. D 105, 064016 (2022)

¹³E. Buk and J. Lewandowski, Axisymmetric, extremal horizons in the presence of a cosmological constant, Phys. Rev. D 103, 104004

¹⁴D. Dobkowski-Rylko, J. Lewandowski, and M. Ossowski, Isolated horizons of the hopf bundle structure transversal to the null direction, the horizon equations, and embeddability in nut-like space-times, Phys. Rev. D 108, 104057 (2023)

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Thank you for your attention!

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