Introduction to QCD Jets "Selected Topics" Warsaw, Poland

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17 September 2024



most important: these lectures are low-key; questions are great

I am literally here to tell you what I know

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Lecture II:

- Pt1: the parton model as a phenomenological model for QCD
- Pt2: soft factorization in massless gauge theories
- Pt3: collinear factorization in massless gauge theories
- Pt4: parton showers
- Pt5: what are jets?

Lunch at 12:30ish

the big picture

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Display of a dijet event (Run=329716, Event=8575822452) with m_{ij}=9.5 TeV, produced in pp collisions at √s=13 TeV data collected in 2017. The two jets with highest p₁ have p₇=3.0 and 2.9 TeV, one is at n₇=1.2 (magenta) and the other at n=0.9 (cyan). The view of the event in the plane transverse to the beam direction is shown on the left side. The top-right figure represents the calorimeter clusters transverse energies in the n₇-φ plane. The bottom-right figure present the event in the longitudinal view (Z-Y plane).

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Big Picture of Today's Lectures

Today is about introducing jets at hadron colliders

- what are jets?
- how do jets form?
- how are jets **defined**?



part 1: the parton model

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subtle question: how to get $\mu^+\mu^-$ in proton-proton collisions?

(protons do not carry lepton number)

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(Bjorken ('68), Feynman ('69))

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(Bjorken ('68), Feynman ('69))

• valence quanta carry net quantum charges of bound state

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- valence quanta carry net quantum charges of bound state
- sea quanta carry various quantum charges and opposite charges

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- valence quanta carry net quantum charges of bound state
- sea quanta carry various quantum charges and opposite charges
- prediction: "parton-antiparton annihilation" into massive leptons

(Drell and Yan [PRL '70])



FIG. 1. (a) Production of a massive pair Q^2 from one of the hadrons in a high-energy collision. In this case it is kinematically impossible to exchange "wee" partons only. (b) Production of a massive pair by parton-antiparton annihilation.

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(Bjorken ('68), Feynman ('69))

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The parton model is the idea that the hadrons, e.g., the proton, are bound states of wee bits of "valence" in a "sea" of more wee bits (Bjorken ('68), Feynman ('69))

• prediction: "parton-antiparton annihilation" into massive leptons

(Drell and Yan [PRL '70])



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Partons were identified as q, \overline{q} , and g of QCD

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Partons were identified as q, \overline{q} , and g of QCD

• competing ideas were possible, e.g., QCD with scalar gluons

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Partons were identified as q, \overline{q} , and g of QCD

• competing ideas were possible, e.g., QCD with scalar gluons



• prediction: "Drell-Yan" in reverse $e^-e^+ \rightarrow q\overline{q}g$ and measure spin!



Running the Experiment: PETRA at DESY

PETRA: an e^+e^- collider with $\sqrt{s} = 13 - 32$ GeV

- Experiments: TASSO (below!), JADE, MARK J, PLUTO
- Collider signature: $e^+e^- \rightarrow 3$ prongs with $\frac{1}{2} \frac{1}{2} 1$ angular dist.



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Evidence for direct production of gluons! ('79)

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- Experiments: TASSO (below!), JADE, MARK J, PLUTO
- Collider signature: $e^+e^- \rightarrow 3$ prongs with $\frac{1}{2} \frac{1}{2} 1$ angular dist.



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Discovery of a spin-1 gluon established **QCD** as the standard description (model!) of strong nuclear interactions



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how does the parton model lead to this?



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Pt2: soft factorization in massless gauge theories



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Factorization in gauge theories is when a radiation amplitude \mathcal{M}_R in certain kinematic limits simplifies to the product of (a) a no-radiation amplitude \mathcal{M}_B (Born!) term and (b) a **universal** (process-independent!) term:



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Intuition:

• Momentum conservation in QM: $e^- \rightarrow e^- + \gamma$ when $E_{\gamma} \ll E_e$

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Intuition:

- Momentum conservation in QM: $e^- \rightarrow e^- + \gamma$ when $E_{\gamma} \ll E_e$
- Low resolving power of low energy photons/gluons

(it only knows about external momenta!)

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Intuition:

- Momentum conservation in QM: $e^- \rightarrow e^- + \gamma$ when $E_{\gamma} \ll E_e$
- Low resolving power of low energy photons/gluons

(it only knows about external momenta!)

• Separation of scales:

Prob(hard+rad) "=" **Prob**(rad) \otimes **Prob**(hard) + $O(\mu/Q)$



Consider emission $q^*(p+k) \rightarrow q(p) + g(k)$ with $E_g \ll E_q$



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Consider emission $q^*(p+k) \rightarrow q(p) + g(k)$ with $E_g \ll E_q$

$$\mathcal{M}_{R}\Big|_{E_{g}\ll E_{q}} = \overline{u}(p)\epsilon_{\mu}^{*}(k)(ig_{s}T^{A})\gamma^{\mu}\frac{(p+k_{g})}{(p+k_{g})^{2}}\cdot\tilde{\mathcal{M}}$$

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After anti-commuting and applying Dirac equation $(\bar{u}, p_u = 0)$:

$$= (ig_s T^A) \overline{u}(p) \cdot \frac{(p^{\mu} \epsilon_{\mu}^*)}{(p \cdot k_g)} \cdot \tilde{\mathcal{M}}$$

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After anti-commuting and applying Dirac equation $(\overline{u} \not p_u = 0)$:

$$= (ig_s T^A) \overline{u}(p) \cdot \frac{(p^{\mu} \epsilon_{\mu}^*)}{(p \cdot k_g)} \cdot \tilde{\mathcal{M}} = \underbrace{(ig_s T^A) \frac{p^{\mu} \epsilon_{\mu}^*}{(p \cdot k_g)}}_{\text{Process in december}} \times \overline{u}(p) \cdot \tilde{\mathcal{M}}$$

Process independent

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After anti-commuting and applying Dirac equation $(\overline{u} \not p_u = 0)$:

$$= (ig_{s}T^{A}) \overline{u}(p) \cdot \frac{(p^{\mu}\epsilon_{\mu}^{*})}{(p \cdot k_{g})} \cdot \tilde{\mathcal{M}} = \underbrace{(ig_{s}T^{A}) \frac{p^{\mu}\epsilon_{\mu}^{*}}{(p \cdot k_{g})}}_{\text{Process independent}} \times \underbrace{\overline{u}(p) \cdot \tilde{\mathcal{M}}}_{=\mathcal{M}_{B}!}$$

Process independent

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Generically, for radiation $E_g \ll Q$

$$\left|\mathcal{M}_{\mathcal{R}}\right|^{2}\Big|_{E_{g}\ll E_{q}}\approx g_{s}^{2}\sum_{i,j\in\{\text{external}\}}\left(\text{color factor}\right)\times \frac{(p_{i}\cdot p_{j})}{(p_{i}\cdot k)(p_{j}\cdot k)}\times |\mathcal{M}_{B}|^{2}$$

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Generically, for radiation $E_g \ll Q$

$$|\mathcal{M}_{\mathcal{R}}|^2\Big|_{E_g \ll E_q} \approx g_s^2 \sum_{i,j \in \{\text{external}\}} (\text{color factor}) \times \frac{(p_i \cdot p_j)}{(p_i \cdot k)(p_j \cdot k)} \times |\mathcal{M}_B|^2$$

A remarkably complicated situation is remarkably simple to write

simplifies further for QED

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another perspective on factorization in massless gauge theories:





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another perspective on factorization in massless gauge theories:

the Weizsäcker-Williams approximation



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Pt3: collinear factorization in massless gauge theories



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Consider the scattering process $eX \rightarrow e'Y$. Generically, \mathcal{M} is

$$\mathcal{M} = [\overline{u}(p_1)(-ieq_e)\gamma^{\mu}u(p_A)] \frac{(-i)\left(g_{\mu\nu} - (\xi - 1)q_{\mu}q_{\nu}/q^2\right)}{q^2} \mathcal{M}^{\nu}(\gamma^*X \to Y)$$

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Consider the scattering process $eX \rightarrow e'Y$. Generically, \mathcal{M} is

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idea: when $\theta_e \ll 1$, γ^* goes on-shell and becomes an asymptotic state

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idea: when $\theta_e \ll 1$, γ^* goes on-shell and becomes an asymptotic state

$$\implies \mathcal{M}(eX \to eY) = \mathcal{M}(e \to e\gamma) \times \mathcal{M}(\gamma X \to eY) + \mathcal{O}(\theta_e)$$

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a brief digression on polarization



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Completeness relationships between **propagators** & **polarization vectors** in gauge theories are subtle. Consider **QED** in Feynman gauge

 $\implies \xi = 1 \text{ so } (1 - \xi)q_{\mu}q_{\nu}/q^2 \rightarrow 0$:

$$-g_{\mu
u} = egin{pmatrix} -1 & & \ & +1 & \ & & +1 & \ & & +1 & \ & & +1 \end{pmatrix} = \sum_{\lambda=\pm,0,5} arepsilon_{\mu}(q,\lambda) arepsilon_{
u}^{*}(q,\lambda)$$

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u}^{st}(q,\lambda)$$

For $q=(q^0,0,0,q^3)$ and transverse pols $arepsilon_\mu(\lambda=\pm)=(0,\mp1,-i,0)/\sqrt{2}$

$$\sum_{\lambda=\pm} \ arepsilon_{\mu}(q,\lambda)arepsilon_{
u}^{*}(q,\lambda) \ = \ egin{pmatrix} 0 & & \ +1 & 0 \ & 0 & +1 \ & \ & 0 \end{pmatrix}$$

For $q = (q^0, 0, 0, q^3)$ and longitudinal $\varepsilon_\mu(\lambda = 0) = (q^3, 0, 0, q^0)/\sqrt{q^2}$

$$\sum_{\lambda=0} \varepsilon_{\mu}(q,\lambda) \varepsilon_{\nu}(q,\lambda) = rac{q^2}{q^2} egin{pmatrix} -1 & & \ & 0 & \ & & 0 & \ & & +1 \end{pmatrix} + rac{q_{\mu}q_{
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For "auxiliary" (A) or "scalar" (S) polarization $\varepsilon_{\mu}(\lambda = S) = q_{\mu}/\sqrt{-q^2}$ $\sum_{\lambda=S} \varepsilon_{\mu}(q,\lambda)\varepsilon_{\nu}(q,\lambda) = -\frac{q_{\mu}q_{\nu}}{q^2}$

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Precise form for $\lambda = 0, S$ depends on several factors:

- broken (massive) or unbroken (massless) gauge symmetry
- gauge (Feynman vs Landau vs Unitary vs Axial)
- gauge fixing $(\xi = 1 \text{ or } n^2 = -1)$

For $q = (q^0, 0, 0, q^3)$ and longitudinal $\varepsilon_\mu(\lambda = 0) = (q^3, 0, 0, q^0)/\sqrt{q^2}$

$$\sum_{\lambda=0} \varepsilon_{\mu}(q,\lambda) \varepsilon_{\nu}(q,\lambda) = rac{q^2}{q^2} egin{pmatrix} -1 & & \ & 0 & \ & & 0 & \ & & +1 \end{pmatrix} + rac{q_{\mu}q_{
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Example: for W/Z in Unitary gauge, $\varepsilon_{\mu}^{W/Z}(\lambda = S) = q_{\mu}\sqrt{\frac{1}{M_{V}^{2}} - \frac{1}{q^{2}}}$ $\sum_{\lambda=S} \varepsilon_{\mu}(q,\lambda)\varepsilon_{\nu}(q,\lambda) = -\frac{q_{\mu}q_{\nu}}{q^{2}} + \frac{q_{\mu}q_{\nu}}{M_{V}^{2}}$

For $q = (q^0, 0, 0, q^3)$ and longitudinal $\varepsilon_\mu(\lambda = 0) = (q^3, 0, 0, q^0)/\sqrt{q^2}$

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Bonus, longitudinal polarization vectors can be written as

Dawson ('85)

$$arepsilon_\mu (\lambda=0) \;=\; rac{q_\mu}{\sqrt{q^2}} + \mathcal{O}\left(rac{\sqrt{q^2}}{q^0}
ight)$$
 importance of this soon!

the Weizsäcker-Williams approximation



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$$= \frac{(-ieq_e)}{q^2} [\overline{u}(p_1)\gamma^{\mu}u(p_A)] \left(\sum_{\lambda\lambda'} \varepsilon^*_{\mu}(q,\lambda)\varepsilon_{\nu}(q,\lambda')\right) \mathcal{M}^{\nu}(\gamma^* X \to Y).$$

First focus on matrix element for $\ell \to \ell \gamma_{\lambda}$ splitting, , approximately a source of the set of

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Many ways to evaluate \mathcal{M} . Helicity amplitudes are super fast:

$$e^{-}(p_i)$$
 $\gamma_{\lambda}^*(q = p_i - p_f)$ $e^{-}(p_f)$

$$-i\mathcal{M}(\ell_{\lambda_i} o \ell_{\lambda_f} V_{\lambda_V}) = J^{\mu}_{\ell_{\lambda_f}\ell_{\lambda_i}} \cdot \varepsilon^*_{\mu}(q,\lambda_V)$$

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lepton current (only two helicity configurations!)

$$J_{\ell_{L}\ell_{L}}^{\mu} = (-ieQ_{e}) \begin{bmatrix} \overline{u}_{L}(p_{f}) & \gamma^{\mu} & u_{L}(p_{i}) \\ LH & helicty & LH & helicty \end{bmatrix}$$
$$J_{\ell_{R}\ell_{R}}^{\mu} = (-ieQ_{e}) \begin{bmatrix} \overline{u}_{R}(p_{f}) & \gamma^{\mu} & u_{R}(p_{i}) \\ RH & helicty & RH & helicty \end{bmatrix}$$

Many ways to evaluate \mathcal{M} . Helicity amplitudes are super fast:

outgoing photon (four helicity polarizations!)

$$-\lambda = \pm \implies \varepsilon_{\mu} = (0, \pm \cos \theta_{\gamma}, -i, \pm \sin \theta_{\gamma})/\sqrt{2}$$

$$-\lambda = 0 \implies arepsilon_\mu \sim q_\mu / \sqrt{q^2} + \mathcal{O}(\sqrt{q^2}/q^0)$$

$$-\lambda = S \implies \varepsilon_{\mu} \sim q_{\mu}/\sqrt{q^2}$$

Many ways to evaluate \mathcal{M} . Helicity amplitudes are super fast:

$$e^{-}(p_i)$$
 $\gamma^*_{\lambda}(q = p_i - p_f)$ $e^{-}(p_f)$

$$-i\mathcal{M}(\ell_{\lambda_i} o \ell_{\lambda_f} V_{\lambda_V}) = J^{\mu}_{\ell_{\lambda_f}\ell_{\lambda_i}} \cdot \varepsilon^*_{\mu}(q,\lambda_V)$$

Dirac equation for massless fermions

 $J^{\mu}_{\ell_{f}\ell_{i}} \cdot \mathbf{q}_{\mu} = (\text{coupling factors}) \times [\overline{u}(p_{f}) \gamma^{\mu} (p_{i} - p_{f})_{\mu} u(p_{i})]$

Many ways to evaluate \mathcal{M} . Helicity amplitudes are super fast:

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Dirac equation for massless fermions

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$$= \left[\overline{u}(p_{f}) \underbrace{\not{p}_{i}u(p_{u})}_{=\not{p}\not{p}\not{q}u(p_{i})}\right] - \left[\underbrace{\overline{u}(p_{f})\not{p}_{f}}_{=\overline{u}(p_{f})\not{p}\not{q}} u(p_{i})\right] = 0$$

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Many ways to evaluate \mathcal{M} . Helicity amplitudes are super fast:

$$e^{-}(p_i) \qquad \qquad \gamma_{\lambda}^*(q = p_i - p_f)$$

$$e^{-}(p_f) \qquad \qquad e^{-}(p_f)$$

$$-i\mathcal{M}(\ell_{\lambda_i} \to \ell_{\lambda_f} V_{\lambda_V}) = J^{\mu}_{\ell_{\lambda_f} \ell_{\lambda_i}} \cdot \varepsilon^*_{\mu}(q, \lambda_V)$$

outgoing photon (four 2.5 helicity polarizations!)

$$-\lambda = \pm \implies \varepsilon_{\mu} = (0, \pm \cos \theta_{\gamma}, -i, \pm \sin \theta_{\gamma})/\sqrt{2}$$

$$-\lambda = 0 \implies arepsilon_\mu \sim {m q_\mu}/{\sqrt{m q^2}} + \mathcal{O}(\sqrt{m q^2}/m q^0)$$

$$-\lambda = S \implies \varepsilon_{\mu} \sim q_{\mu} / \sqrt{q^2}$$

Spinors and Spinor (Current) Algebra

To evaluate $J^{\mu}_{\ell_{\lambda_f}\ell_{\lambda_j}}$, we need spinors and spinor algebra (simply matrix algebra!) $p^{\mu} = (E, |\vec{p}| \sin \theta \cos \phi, |\vec{p}| \sin \theta \sin \phi, |\vec{p}| \cos \theta), \quad E^2 = |\vec{p}|^2 + m^2$

2-component spinors:

$$\begin{split} \chi_{\lambda=+1}(\hat{p}) &= \frac{1}{\sqrt{2|\vec{p}|(|\vec{p}|+p_z)}} \begin{pmatrix} |\vec{p}|+p_z\\ p_x+ip_y \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta}{2}\\ e^{i\phi}\sin\frac{\theta}{2} \end{pmatrix} \\ \chi_{\lambda=-1}(\hat{p}) &= \frac{1}{\sqrt{2|\vec{p}|(|\vec{p}|+p_z)}} \begin{pmatrix} -p_x+ip_y\\ |\vec{p}|+p_z \end{pmatrix} = \begin{pmatrix} -e^{-i\phi}\sin\frac{\theta}{2}\\ \cos\frac{\theta}{2} \end{pmatrix} \\ \chi_{\lambda}(-\hat{p}) &= -\lambda e^{i\lambda\phi}\chi_{-\lambda}(\hat{p}). \end{split}$$

4-component spinors:

$$u_{\lambda}(p) = \begin{pmatrix} \sqrt{E - \lambda |\vec{p}|} \chi_{\lambda}(\hat{p}) \\ \sqrt{E + \lambda |\vec{p}|} \chi_{\lambda}(\hat{p}) \end{pmatrix}, \quad v_{\lambda}(p) = \begin{pmatrix} -\lambda \sqrt{E + \lambda |\vec{p}|} \chi_{-\lambda}(\hat{p}) \\ \lambda \sqrt{E - \lambda |\vec{p}|} \chi_{-\lambda}(\hat{p}) \end{pmatrix}$$

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Spinors and Spinor (Current) Algebra

Spinor algebra *appears complicated*, but simplifies a lot

In the **lab frame**, $p_i = E_i (1, 0, 0, +1)$ and this gives

$$u_L(p_i) = \sqrt{2E_i} \begin{pmatrix} 0\\1\\0\\0 \end{pmatrix}$$
 and $u_R(p_i) = \sqrt{2E_i} \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix}$

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Spinors and Spinor (Current) Algebra

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In the same frame, $p_f = E_f(1, \sin \theta_\ell, 0, \cos \theta_\ell)$ and this gives

$$\overline{u_L}(p_f) = \sqrt{2E_f} \begin{pmatrix} 0\\0\\-\sin\frac{\theta_\ell}{2}\\\cos\frac{\theta_\ell}{2} \end{pmatrix}^T \text{ and } \overline{u_R}(p_f) = \sqrt{2E_f} \begin{pmatrix}\cos\frac{\theta_\ell}{2}\\\sin\frac{\theta_\ell}{2}\\0\\0 \end{pmatrix}^T$$

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Contracting Dirac/spinor indices gives:

pro tip: this entails (1 \times 4) \cdot (4 \times 4) \cdot (4 \times 1) matrix multiplication for each γ^0,γ^1,\ldots .

$$J^{\mu}_{\ell_{L}\ell_{L}} = (-ieQ_{e}) \sqrt{2E_{i}E_{f}} \left(\cos\frac{\theta_{\ell}}{2}, \sin\frac{\theta_{\ell}}{2}, -i\sin\frac{\theta_{\ell}}{2}, \cos\frac{\theta_{\ell}}{2}\right)$$
$$J^{\mu}_{\ell_{R}\ell_{R}} = (-ieQ_{e}) \sqrt{2E_{i}E_{f}} \left(\cos\frac{\theta_{\ell}}{2}, \sin\frac{\theta_{\ell}}{2}, +i\sin\frac{\theta_{\ell}}{2}, \cos\frac{\theta_{\ell}}{2}\right)$$

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Contracting Lorentz indices gives:

$$\mathcal{M}(e_L^- \to e_L^- \gamma_+) = (+2ieQ_e)\sqrt{2E_1E_A}\cos\left(\frac{\theta_I + \theta_\gamma}{2}\right)\sin\left(\frac{\theta_\gamma}{2}\right)$$
$$\mathcal{M}(e_L^- \to e_L^- \gamma_-) = (-2ieQ_e)\sqrt{2E_1E_A}\cos\left(\frac{\theta_\gamma}{2}\right)\sin\left(\frac{\theta_I + \theta_\gamma}{2}\right)$$
$$\mathcal{M}(e_L^- \to e_L^- \gamma_0) \sim \mathcal{O}\left(\sqrt{q^2}/E_A\right)$$

A few observations:

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- we want to see what happens when γ goes on shell, i.e., $\gamma^*
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(in practice, neglect $\mathcal{O}(\sqrt{q^2}/E_A)$ terms)

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$$\gamma$$
 goes on shell, i.e., $\gamma^* o \gamma$ also means $p_T^\ell o 0$ since $p_T^2 \propto q^2$

(in practice, expand to lowest order in θ_I, θ_γ)

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In high-energy collinear $e \to e\gamma$ splitting, $\gamma^* \to \gamma$ and **unphysical** polarizations decouple and matrix elements factor out ("factorize")

$$\mathcal{M}(eX \to eY)$$

$$= \sum_{\lambda = \pm} \mathcal{M}(e \to e\gamma_{\lambda}) \times \frac{1}{q^{2}} \times \mathcal{M}(\gamma_{\lambda}X \to eY) + \mathcal{O}\left(\theta_{\ell}, \theta_{\gamma}, \frac{\sqrt{q^{2}}}{E_{A}}\right)$$

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$$\mathcal{M}(eX \to eY)$$

$$= \sum_{\lambda = \pm} \underbrace{\mathcal{M}(e \to e\gamma_{\lambda})}_{\text{proc. independent}} \times \frac{1}{q^{2}} \times \underbrace{\mathcal{M}(\gamma_{\lambda}X \to eY)}_{\text{proc. dependent}} + \mathcal{O}\left(\theta_{\ell}, \theta_{\gamma}, \frac{\sqrt{q^{2}}}{E_{A}}\right)$$



In high-energy collinear $e \to e\gamma$ splitting, $\gamma^* \to \gamma$ and unphysical polarizations decouple and (matrix element)² factorize

$$\begin{split} |\mathcal{M}(eX \to eY)|^2 \\ &= \frac{1}{q^4} \sum_{\lambda = \pm} |\mathcal{M}(e \to e\gamma_{\lambda})|^2 \times |\mathcal{M}(\gamma_{\lambda}X \to eY)|^2 + \mathcal{O}\left(\theta_{\ell}, \theta_{\gamma}, \frac{\sqrt{q^2}}{E_A}\right) \end{split}$$

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Defining $z = E_{\gamma}/E_A$, then for $\theta_e \ll 1$ we get something nice

(see also Peskin and Schroeder to get here)

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$$\sum_{\lambda, ext{d.o.f.}} |\mathcal{M}(e o e \gamma)|^2 = (ext{coup. factors})^2 rac{4q^2}{z(1-z)} \left[rac{1+(1-z)^2}{z}
ight]$$

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now to put everything together

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1. Phase Space: in general, dPS_{n_Y+1} can be split into dPS_{n_Y} and dPS_1

$$dPS_{n_Y+1}(p_X, p_f; p_{f'}, \{p_k\}) = (2\pi)^4 \delta \left(p_X + p_f - p_{f'} - \sum_k^{n_Y} p_k \right) \prod_k^{n_Y+1} \frac{d^3 p_k}{(2\pi)^3 2E_k}$$

= $(2\pi)^4 \delta \left(p_X + q - \sum_k^{n_Y} p_k \right) \frac{d^3 p_{f'}}{(2\pi)^3 2E_{f'}} \prod_k^{n_Y} \frac{d^3 p_k}{(2\pi)^3 2E_k}$
= $dPS_{n_Y}(p_X, q; p_{f'}, \{p_k\}) \times \frac{d\phi_{f'}}{4(2\pi)^3}$

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$$\sigma = \int dPS_{n_y+1} \times \frac{d\hat{\sigma}}{dPS_{n_Y+1}} = \int dPS_{n_y} \int dPS_1 \times \frac{d\hat{\sigma}}{dPS_{n_Y+1}},$$

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1. Phase Space: in general, dPS_{n_Y+1} can be split into dPS_{n_Y} and dPS_1

$$dPS_{n_Y+1}(p_X, p_f; p_{f'}, \{p_k\}) = (2\pi)^4 \delta \left(p_X + p_f - p_{f'} - \sum_k^{n_Y} p_k \right) \prod_k^{n_Y+1} \frac{d^3 p_k}{(2\pi)^3 2E_k} = (2\pi)^4 \delta \left(p_X + q - \sum_k^{n_Y} p_k \right) \frac{d^3 p_{f'}}{(2\pi)^3 2E_{f'}} \prod_k^{n_Y} \frac{d^3 p_k}{(2\pi)^3 2E_k} = dPS_{n_Y}(p_X, q; p_{f'}, \{p_k\}) \times \frac{d\phi_{f'}}{4(2\pi)^3}$$

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where $\frac{d\sigma}{dPS_{n_Y+1}} = \frac{1}{\underbrace{2Q^2}_{\text{flux}} \underbrace{(2s_a+1)(2s_b+1)N_c^aN_c^b}_{\text{spin/color averaging}}}_{\text{spin/color averaging}} \sum_{d.o.f.} |\mathcal{M}_{n_Y+1}|^2$
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3. Combine and reorganize

 $Q^2 = (q + p_B)^2 = 2q \cdot p_B \equiv z \times (p_A \cdot p_B) = z \times s$

$$\sigma(e^{-}X \to e^{-}Y)\Big|_{\theta_{e} \ll 1} = \int \frac{d\phi_{1}dzdq^{2}}{4(2\pi)^{3}}(1-z)$$
$$\sum_{\lambda, \text{d.o.f.}} |\mathcal{M}(e \to e\gamma^{*})|^{2} \times \frac{1}{q^{4}} \times \frac{Q^{2}}{2s} \hat{\sigma}(\gamma X \to Y)$$

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4. Integrate! Integrate! Integrate!

$$\sigma\Big|_{\theta_e \ll 1} = \int dz \, \left(\frac{\alpha q_e^2}{2\pi}\right) \, P_{\gamma e}(z) \, \times \, \int \frac{dq^2}{q^2} \, \times \, \hat{\sigma}(\gamma X \to Y)$$

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$$\sigma\Big|_{\theta_e \ll 1} = \int dz \, \left(\frac{\alpha q_e^2}{2\pi}\right) \, P_{\gamma e}(z) \, \times \, \int \frac{dq^2}{q^2} \, \times \, \hat{\sigma}(\gamma X \to Y)$$

The function $P_{\gamma e}(z)$ is one of the Altarelli-Parisi splitting functions

gives splitting probability of e $ightarrow \gamma$ for E_{γ} = z imes E_A

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5. After integrating over virtuality of γ

$$\sigma(e^{-}X \to e^{-}Y)\Big|_{\theta_{e} \ll 1} = \int dz \underbrace{\frac{\alpha q_{e}^{2}}{2\pi} P_{\gamma e}(z) \log\left(\frac{Q^{2}}{m_{e}^{2}}\right)}_{f_{\gamma/e}(z,Q)} \hat{\sigma}(\gamma X \to Y)$$

 $f_{\gamma e}$ can be identified as the γ (number) density within e

also known as the parton (number) density function of parton distribution function

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intuition?





bare vs dressed particles in QFT



physical (dressed) particles contain a sea of partons

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Beyond leading order (and more systematically!), $f_{\gamma e}$ picks up additional contibutions:

$$f_{\gamma/e}(z,\mu_r^2) = \underbrace{f_{\gamma/e}(z)}_{\text{"first emission"}} + \underbrace{\frac{\alpha}{2\pi} \int_z^1 \frac{dx}{x} P_{\gamma e}(x) f_{e/e}\left(\frac{z}{x}\right) \log \frac{\mu_r^2}{\mu_0^2} + C(x,z)}_{\text{add'l } \gamma \text{ emissions}}$$
$$\underbrace{\frac{e^-(p_A)}{M_{\gamma}}}_{\gamma} \underbrace{e^-(p_1)}_{\gamma} \underbrace{\frac{e^-(p_1)}{M_{\gamma}}}_{\gamma} \underbrace{\frac{e^-(p_1)$$

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Beyond leading order (and more systematically!), $f_{\gamma e}$ picks up additional contibutions:

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$$\underbrace{\frac{e^-(p_A)}{44} e^-(p_1)}_{\text{WW}} \qquad \underbrace{\frac{e^-(p_A)}{444} e^-(p_A)}_{\text{WW}} e^-(p_A) e^-(p_A) e^-(p_A)}_{\text{WW}}$$

 \implies a scale evolution: $\delta f_{\gamma/e}(z,\mu_r^2) \approx \frac{\alpha}{2\pi} \int_z^1 \frac{dx}{x} P_{\gamma e}(x) f\left(\frac{z}{x},\mu_r^2\right) \delta \log \mu_r^2$

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 $\implies \text{``dressing'' / renormalization of beams from } \mu_0^2 = q^2 \ll E_A^2 \text{ to } \mu_r^2$ $\frac{\partial f_{\gamma/e}(z,\mu_r^2)}{\partial \log \mu^2} = \frac{\alpha}{2\pi} \int_z^1 \frac{dx}{x} P_{\gamma e}(x) f\left(\frac{z}{x},\mu_r^2\right)$

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WWA aka Collinear Factorization

renormalization / "dressing" accounts for all collinear rad. with $k_{\mathcal{T}} < \mu_r$

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Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations



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this holds for QED and QCD

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possibly also for EW theory

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physical (dressed) particles contain a sea of partons

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Pt4: parton showers

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The idea of a parton shower is to capture soft/collinear ISR/FSR

(which are near the singular regions of FO matrix elements!)



Such ISR/FSR are not naively $\mathcal{O}(\alpha_s)$ suppressed, i.e., power suppressed, since momentum scale of emission is small compared to hard scale Q

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Using collinear factorization and unitarity (prob conservation!), we can account for such radiation to all orders in perturbation theory!

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For $1 \rightarrow 2$ splitting at virtuality *t*, coll. fact. in QCD / QCD are same:

(just replace $\alpha \rightarrow \alpha_s C_{F(A)}$ for q(g))

$$\sigma_{2 \to (n+1)} \sim \sigma_{2 \to n} \int dz \; \frac{dt}{t} \; \frac{\alpha_s C_i}{2\pi} P_{ji}(z)$$

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$$\mathcal{P}_{ ext{No Split}}(t_1, t_0) = 1 - \mathcal{P}_{ ext{Split}} = 1 - \int rac{dt}{t} \int dz \; rac{lpha_s C_i}{2\pi} P_{ji}(z) \ pprox \exp\left[\int_{t_0}^{t_1} rac{dt}{t} \; \int dz \; rac{lpha_s C_i}{2\pi} P_{ji}(z)
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On event-by-event basis, throw random number $y \in [0, 1]$. Check if splittings occur. If yes, add $1 \rightarrow 2$ splitting. Restart, until no splitting.

$$d\sigma(pp \to \mathcal{B} + X) = \sum_{a,b} f_a \otimes f_b \otimes \underbrace{\Delta_{ab}}_{ab} \otimes d\hat{\sigma}(ab \to \mathcal{B}) + \mathcal{O}\left(\Lambda_{\mathrm{NP}}^p/Q^{p+2}\right)$$
$$= \mathcal{P}(z) \text{ (survival rate)}$$

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how accurate is all of this?



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how accurate is all of this? leading log (LL), usually



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Fixed Order vs Resummation

Resummation: REnormalization-grouped improved SUMMATION - a technique for accounting for a particular type if radiation, e.g., strongly ordered collinear gluons to all orders in pert. theory



Combine our factorized results:

$$\mathcal{M}_{V+1 \text{ soft/collinear radiation}} = \left(\underbrace{\text{rad. pole + loop pole}}_{\text{universal factor}}\right) \times \mathcal{M}_V^{FO}$$

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The squaring, averaging, and integrating over (n + 1)-body phase space

$$d\sigma_{V+1 \text{ soft/collinear radiation}} = \underbrace{\int dPS_1(\text{universal piece})}_{\text{Soft/collinear}} \begin{vmatrix} \sigma_V^{\text{FO}} \\ \sigma_V \end{vmatrix}$$

finite, $\equiv S$. solve integral with RGE to LL, NLL,...

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Keeping track of symmetry factors lets us do this for *k*-emissions:

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Summing over all such emissions gives us a closed result:

$$d\sigma_{V+any \text{ soft/collinear}} = \sum_{k} \frac{1}{k!} [S]^k \times \sigma_{DY}^{FO} = \exp[S] \times \sigma_V^{FO}$$

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is there double counting?



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is there double counting? yes, usually!





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MC@NLO

Phase space double counting is now a concern when adding PS to NLO:

• At NLO, is the first/hardest emission from the PS or ME?


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Solution: MC@NLO

(Frixione, Webber [hep-ph/0204244]; other solutions, too! e.g., POWHEG)

- If collinear/soft, it came from the **PS**. If wide-angle/hard, then **ME**
- PS and ME describe these regions well, respectively.

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- $\bullet\,$ If collinear/soft, it came from the PS. If wide-angle/hard, then ME
- PS and ME describe these regions well, respectively.

Importantly,

- The PS assumptions are invalidated at wide angles
- The ME becomes perturbatively instable in soft/collinear limits.

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(Frixione, Webber [hep-ph/0204244])

- introduce auxiliary term $\pm d\sigma^A$ to move $1/\varepsilon^{IR}$ cancellation
- introduce auxiliary term $\pm d\sigma^{MC}$ to move first $\mathcal{O}(\alpha_s)$ emission
- result: moves "parton shower" out of $dPS_{(n+1)}$ and into dPS_n



(Frixione, Webber [hep-ph/0204244])

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Main Monte Carlo formula at NLO in QCD:

$$\sigma^{\rm NLO} = \int dP S_n \left[d\sigma^B + d\sigma^{CT} + \left(d\sigma^V + \int dP S_1 d\sigma^A \right) \right]$$
 (Born-like)

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Warsaw24

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$$+ \int dPS_{(n+1)} \left[d\sigma^R - d\sigma^{\mathcal{MC}} \right]$$
(Born-like)
(counter events)
(real events)

normalization okay but dists. wrong without parton shower!

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language of precision:

precision of normalization \neq precision of distribution

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Not all observables are well-defined when σ is known only at LO

Example: transverse momentum (q_T) of W/Z system in $pp \rightarrow V + X$ • $q_T = 0$ at Born-level (no recoil!) and singular at $\mathcal{O}(\alpha_s)$



Not all observables are well-defined when σ is known only at LO

Example: transverse momentum (q_T) of W/Z system in $pp \rightarrow V + X$

• Lowest order q_T physical is when σ is known at NLO w/ leading log. (LL) q_T -resummation (or +PS) $\implies d\sigma/dq_T$ is LO+LL(q_T)



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Pt5: what are jets?¹



1 from an RGE perspective: Contopanagos, Laenen, Sterman ('96); Becher, Broggio, Ferroglia ('14); etci 🚊 🛌 🛓 🔊 Q (🖓

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Collinear Factorization Theorem

Collins, Soper, Sterman ('85,'88,'89); Collins, Foundations of pQCD (2011)

 $d\sigma(pp \to \mathcal{B} + X) = \sum_{a,b} f_a \otimes f_b \otimes \Delta_{ab} \otimes d\hat{\sigma}(ab \to \mathcal{B}) + \mathcal{O}\left(\Lambda_{\mathrm{NP}}^p/Q^{p+2}\right)$

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Now, ignore parton shower, and simply write:

 $\sigma(pp \to \mathcal{B} + X) = \sum_{a,b} f_a \otimes f_b \otimes \hat{\sigma}(ab \to \mathcal{B}) + \mathcal{O}\left(\Lambda_{\mathrm{NP}}^p/Q^{p+2}\right)$

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$$\tilde{\sigma}^{N} = \sum_{a,b} \tilde{f}^{N}_{a} \times \tilde{f}^{N}_{b} \times \tilde{\hat{\sigma}}^{N}_{ab} + \mathcal{O}^{N} \left(\frac{\Lambda^{p}_{\mathrm{NP}}}{Q^{p+2}} \right)$$

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$$\tilde{\sigma}^{N} = \sum_{a,b} \tilde{f}^{N}_{a} imes \tilde{f}^{N}_{b} imes \tilde{\sigma}^{N}_{ab} + \mathcal{O}^{N} \left(rac{\Lambda^{N}_{
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In Mellin space, DGLAP evolution is also nice:

$$\frac{d}{d\log\mu}f = \underbrace{\Gamma(\alpha_s)}_{f} f$$

anomalous dim.

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nice enough to be solvable!

$$\underbrace{f(\mu_2)}_{\text{Dressed}} = \underbrace{\exp\left[\int d\log\mu\,\Gamma(\alpha_s)\right]}_{\text{LL, NLL, NNLL, etc.}} \underbrace{f(\mu_1)}_{\text{Bare}} \equiv U(\mu_2, \mu_1)f(\mu_1)$$
(evaluate integral using change of variable and QCD β -function: $d\log\mu = d\alpha/\beta(\alpha)$)

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note: $U(\mu_2, \mu_1)$ takes f from (small) $\mu_r = \mu_1$ to (large) $\mu_r = \mu_1$

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(nature does not care about μ !)

"scale invariance of physical observables":
$$\mathcal{D}\tilde{\sigma}^N \equiv \frac{d}{d\log\mu}\tilde{\sigma}^N = 0$$

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"scale invariance of physical observables": $D\tilde{\sigma}^N \equiv \frac{d}{d\log\mu}\tilde{\sigma}^N = 0$

scale invariance gives one big zero!

$$0 = \mathcal{D}(\tilde{\sigma}^{N}) = 2\Gamma \times \left(\tilde{f}_{a}^{N} \times \tilde{f}_{b}^{N} \times \tilde{\hat{\sigma}}_{ab}\right) + \tilde{f}_{a}^{N} \times \tilde{f}_{b}^{N} \times \mathcal{D}\tilde{\hat{\sigma}}_{ab}$$

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 $\implies \tilde{\hat{\sigma}}_{ab} \text{ also obeys renormalization group evolution, i.e., } \mathcal{D}X \propto X!$ $0 = \tilde{f}_{a}^{N} \times \tilde{f}_{b}^{N} \times \tilde{\hat{\sigma}}_{ab}^{N} \times [2\Gamma + \frac{1}{\tilde{\sigma}_{ab}^{N}} \mathcal{D}\tilde{\hat{\sigma}}_{ab}^{N}]$

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the solution is

$$\underbrace{\tilde{\sigma}_{ab}^{N}(\mu_{2})}_{\text{Dressed}} = \underbrace{\exp\left[-2\int d\log\mu\,\Gamma(\alpha_{s})\right]}_{\text{LL, NLL, NNLL, etc.}} \underbrace{\tilde{\sigma}_{ab}^{N}(\mu_{1})}_{\text{Bare}} \equiv E^{\dagger}(\mu_{2},\mu_{1})\tilde{\sigma}_{ab}^{N}(\mu_{2})$$

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what does this mean?

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how are QCD partons geometrically distributed at Q = 13 - 32 GeV?



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how are QCD partons geometrically distributed at Q = 9.5 TeV?



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QCD partons become collimated at high energies

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Even if the parton shower Δ is ignored, scale invariance gives it back:

$$\tilde{\sigma}^{N} = \tilde{f}_{a}^{N}(\mu_{f1}) \times \tilde{f}_{b}^{N}(\mu_{f2}) \times \underbrace{\left[U_{1}(\mu_{f1})U_{2}(\mu_{f2})E^{\dagger}(\mu_{h})\right]}_{=\Delta} \times \tilde{\tilde{\sigma}}_{ab}^{N}(\mu_{h})$$

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The parton shower (as a Sudakov factor) is physical!

2 The parton shower (as an RG evo. factor) dresses bare partons!



pronged events are macroscopic manifestations

of "particle dressing" (QFT!)



physical (dressed) particles contain a sea of partons

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External quarks and gluons typically carry small virtuality (off mass-shell!) \implies forced by uncertainty principle to radiate gluons just like EM!



Nature favors many, low E emissions vs few, high E emissions



 \implies strong QCD coupling leads to formation of a collimated streams of energetic bound states (hadrons!), which we call **jets**

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bonus: jet identification

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LHC detector experiments are masterpieces

- MASSIVE (5-8 stories; 7-14kt)

- 4π coverage (LHCb~ 2π)
- Si tracking (giant CCD camera!)
- concentric calorimeters
- spectrometer or similar

neutrino ID: ③

electron ID:

- tracker, ECAL
- kinematic + isolation req.

quark ID:

- tracker, ECAL, HCAL
- jet clustering



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what is a jet?





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Suggestions? Intuitively: boosted, collimated hadronic activity.



Sterman-Weinberg jets: Cones with opening angle $\delta \ll 1$ containing up to $(E_{Tot.} - \epsilon)/N$ energy from hadrons.

To study jets, we consider the partial cross section $\sigma(E, \theta, \Omega, \epsilon, \delta)$ for e^+e^- hadron production events, in which all but a fraction $\epsilon \ll 1$ of the total e^+e^- energy *E* is emitted within some pair of oppositely directed cones of half-angle $\delta \ll 1$, lying within two fixed cones of solid angle Ω (with $\pi^{\delta^+} \ll \Omega \ll 1$) at an angle θ to the e^+e^- beam line. **Sterman, Weinberg ('77)**

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Since 1977, our understanding of jets and their constituents has evolved.

In particular,

- Application of infrared and collinear (IRC) safety
- Invention of sequential jet clustering algorithms

R. Ruiz (IFJ PAN)



In TH and EXP, soft/collinear parton splitting should not change the number of jets

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Silly if adding a 1 GeV hadron to this $pp \rightarrow jj$ event made a difference.



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Formally, for momenta $p_i = p_j + p_k$, an observable \mathcal{O} is **IRC-safe** if

 $\mathcal{O}_{n+1}(p_1, \dots, p_j, p_k, \dots, p_{n+1}) = \mathcal{O}_n(p_1, \dots, p_j + p_k, \dots, p_{n+1})$ when $E_i \to 0$, $E_k \to 0$, or $\hat{p}_i \cdot \hat{p}_j = \cos \theta_{ij} \to 1$

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Summary: collimated/soft objects are unresolvable

unsafe jets

(cone clustering algorithm)

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Beyond LO, a single soft radiation can cause the two jets to merge, or the appearance of a third jet SW jets do not require a min. energy threshold!



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In soft/collinear limit, (n + 1)-body kinematics map to the *n*-body configuration \implies cancellation of real and virt. $1/\varepsilon^{\text{IR}}$ [KLN Thm]



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ALERT: If the number of jets changes in the soft limit, then phase spaces are different

R. Ruiz ((IEJ PAN)

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In soft/collinear limit, (n + 1)-body kinematics map to the *n*-body configuration \implies cancellation of real and virt. $1/\varepsilon^{\text{IR}}$ [KLN Thm]

ALERT: If the number of jets changes in the soft limit, then phase spaces are different $\implies 1/\varepsilon^{\text{IR}}$ do not cancel \implies violation of KLN Thm

IRC-safe jets



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Aim of jet-building is to "identify" hard partons by "undoing" splitting





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Consider a very energetic $1 \rightarrow 2$ parton splitting



Since $\mathcal{M} \propto 1/m_{jk}^2$, where $m_{jk}^2 \sim E_j E_k \theta_{jk}$

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Since $\mathcal{M} \propto 1/m_{jk}^2$, where $m_{jk}^2 \sim E_j E_k \theta_{jk}$

 \implies preference for low energy $(E_j, E_k \rightarrow 0)/\text{small angles } (\theta_{jk} \rightarrow 0)!$

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Aim of modern jet-building is to undo nature



and cluster according to smallest "distance" measure:

$$d_{jk} = \min(p_T^j, p_T^k)^p \Delta R_{jk}^2 / R^2, \qquad \Delta R_{jk} = (ext{angular opening})$$

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• For p = 2, $d_{jk} \propto m_{jk}^2 \implies$ distance in virtuality space (best describes matrix element) • For p = 0, $d_{jk} \propto \theta_{jk}^2 \implies$ distance to geometric neighbors

(best for studying jet substructure)

• For $p = -2 \implies$ distance from hardest object to geometric neighbor (possesses "ideal" properties)

R. Ruiz ((IEJ PAN)	

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Choice of momentum weighting p changes pheno appreciably

- p = 2 is the k_T -algo. and clusters softer/more col. neighbors first
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Choice of momentum weighting p changes pheno appreciably

- p = 2 is the k_T -algo. and clusters softer/more col. neighbors first
- p = 0 is the Cambridge/Aachen algo. and clusters closest neighbors
 - Useful for studying jet substructure since no momentum scale bias
- p = -2 is the anti- k_T -algo. and clusters hardest object to neighbors
 - "Ideal" properties and reproduces cone-like jet structure



By construction, all k_T -style algorithms are IRC-safe. [0802.1189]

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summary

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Jets are powerful tools for studying physics

- what is the origin of jets? RG evolution / "dressing" of bare partons
- how are jets useful? they quantify particle splitting (not just QCD partons!)
- how are jets identified? recombining their splitting histories
- where can jets be used? everywhere: from SM to BSM!

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Jets are incredibly rich structures and tell us much about gauge theories

we should respect the physics of jets but not be scared of it!



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